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STATE OF ARIZONA ) DOCKET NO. S-20895A-13-0377  
County of Maricopa ) AFFIDAVIT OF SERVICE

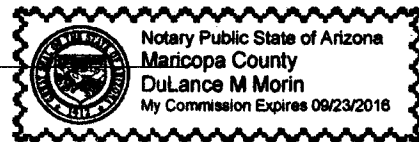
I, Annalisa Weiss, for the Securities Division of the Arizona Corporation Commission, hereby certify that on the 6<sup>th</sup> day of November, 2013 at 10:15 AM, I served a copy of: NOTICE OF OPPORTUNITY FOR HEARING REGARDING PROPOSED ORDER OF DENIAL, upon JON JOSEPH BAUMAN, CRD#5007841, Respondent, at 1300 W. Washington St., Phoenix, AZ 85007, via personal service.

  
AFFIANT

11-08-2013  
DATE

SUBSCRIBED AND SWORN TO BEFORE me this 8th day of November, 2013.

  
NOTARY PUBLIC



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